

# IFMR INVESTMENT MANAGERS PRIVATE LIMITED



## Distributor Empanelment Form

Form No.

### 1. CONTACT DETAILS\*

Distributor Name																																
Contact Person	Mr.	Ms.	M/s.	F	I	R	S	T	N	A	M	E	M	I	D	D	L	E	N	A	M	E										
	L	A	S	T	N	A	M	E																								
Contact Address (for all communication, brokerage, structure, intimation & cheques)																																
City																State																
Pin						Email																										
Telephone No.	STD Code					(Office)						(Res.)																				
(Mobile)						(Fax)																										
Status	Individual	Sole Proprietorship	Partnership Firm	Public Ltd.Co.	Bank	Pvt.Ltd.Co.	Society/Trust	Others																								

Photo required only for individual distributors

### 2. AMFI REGISTRATION DETAILS\*

AMFI Registration No.	A	R	N	-					ARN Issue Date	D	D	M	M	Y	Y	ARN Valid upto	D	D	M	M	Y	Y
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### 3. BANK DETAILS\*

Bank Name																																				
Bank Branch																A/c No.																				
Bank Address																																				
																City															Pin					
A/c Type	Savings	Current	MICR No.						(This is 9 digit no.next to cheque no on your cheque leaf)																											
IFSC						Mode of Brokerage Payment	Electronic Mode	Warrant/Cheque																												

Please enclose a copy of a cancelled cheque of the above bank account.

PAN No.											Service Tax No.																				
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### 4. HELP US KNOW YOU BETTER

Individual: Date of Birth	D	D	M	M	Y	Y	Y	Y	Anniversary	D	D	M	M	Y	Y	Y	Y	No. of children			Ages										
Corporate: Date of Incorporation	D	D	M	M	Y	Y	Y	Y																							
Authorised Person																Designation															

### 5. AWARDS & ACHIEVEMENTS

Special achievements / awards in last three years

### 6. INFORMATION UPDATES VIA (Please tick) SMS Email

Fields marked with \* are mandatory.

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### 7. NOMINATION DETAILS FOR BROKERAGE / COMMISSION\* (In case of Individual Agents Only)

I hereby nominate the person named below to receive the amount of brokerage to my credit in the event of my death.

Nominee Name																													
Date of Birth (If Minor)	D	D	M	M	Y	Y	Y	Y	Relationship																				
Guardian's Name (In case of Minor)																													
Address of Nominee/Guardian																													
City																			State							Pin			

Specimen Signature of Nominee/Guardian

Signature of the Distributor

### 8. DECLARATION\*

I/We undertake that the information provided is correct and true to my/our knowledge. I/We have read and understood the below Terms & Conditions of my/our appointment. My/our application for empanelment may accordingly be considered. My/our appointment shall be subject to any guidelines, notifications, regulations etc. that may be framed or issued by –

- (a) AMFI, SEBI or any other applicable regulatory authority / body;
- (b) IFMR Finance for Freedom Social Venture Fund or by IFMR Investment Managers Private Limited or by the Trustee of IFMR Finance for Freedom Social Venture Fund, SBICap Trustee Company Limited

Date	D	D	M	M	Y	Y	Y	Y	Place											Signature
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### FOR OFFICE USE

Nearest CAMS Location

Reporting Branch													Empanelment From Date	D	D	M	M	Y	Y
Distributor Name													Dist. Code						
Relationship Manager's Name													Emp. Code						
Advisor Category																			
Name & Signature of Investor Relations Executive													Date	D	D	M	M	Y	Y

### FOR OFFICE USE (BY THE CORPORATE OFFICE)

Signature

### FOR REGISTRAR'S USE ONLY

Master Updation by

Signature

Application Received On	D	D	M	M	Y	Y
Date of Updation	D	D	M	M	Y	Y
Date of Receipt of Application	D	D	M	M	Y	Y
Date of Updation	D	D	M	M	Y	Y

### CHECKLIST

(as applicable)

Copy of the AMFI Certificate	Authorised Signatory List
Copy of the AMFI Letter & ARN Card	Board Resolution
Cancelled Cheque	Partnership Deed & Resolution
KYD Copy	COI/MOA/AOA

Fields marked with \* are mandatory.

## Distributor Empanelment Form

### Terms & Conditions

- a) The Distributor will put his best efforts in promoting IFMR FImpact Investment Fund on consistent basis and shall at all times promote IFMR FImpact Investment Fund in line with the objectives set out in the offer document.
- b) The Distributor shall not make any statement or act in a manner which shall create any expectation from IFMR FImpact Investment Fund which is not stated in the offer document.
- c) A Distributor is not authorised to receive cash from any investor. All applications along with cheque/draft and other transaction documents of the investors shall be lodged with authorised centers. A distributor is not authorised to issue any receipt or make any commitments for and on behalf of IFMR FImpact Investment Fund.
- d) The Distributor shall protect and keep harmless and indemnify IFMR Finance for Freedom Social Venture Fund and IFMR Investment Managers Private Limited, investment manager of IFMR FImpact Investment Fund, and SBICap Trustee Company Limited, trustee company of IFMR Finance for Freedom Social Venture Fund in respect of any loss, claim, cost, charge, expenses whatsoever which the said parties have to incur as a result of the distributor not conforming to the instruction contained herein or which may be issued to him/her from time to time.
- e) The Distributor shall be eligible to receive commission on amounts mobilised by him/her and undertake not to rebate commission back to investors or attract investors through temptation of rebates/gifts, pass back commission, etc. The amount of commission and/or incentive may vary from time to time. (Net of Statutory levies, if any)
- f) The Distributor will not hold responsible IFMR Finance for Freedom Social Venture Fund and IFMR Investment Managers Private Limited, investment manager of IFMR FImpact Investment Fund, and SBICap Trustee Company Limited, trustee company of IFMR Finance for Freedom Social Venture Fund, for any loss incurred by him/her as not anticipated and arising out of any revision in the rate of commission or change of terms and conditions of distribution. IFMR Finance for Freedom Social Venture Fund and IFMR Investment Managers Private Limited, investment manager of IFMR FImpact Investment Fund, and SBICap Trustee Company Limited, trustee company of IFMR Finance for Freedom Social Venture Fund will not be responsible for any misplaced brokerage cheques or other related matter.
- g) The Distributor shall not wrongly issue any advertising or promotional material of IFMR FImpact Investment Fund. In particular, the Distributor shall not communicate to the investors of IFMR FImpact Investment Fund or to the public at large, any information whether by way of advertisement or sales literature, other than that provided to the Distributors by IFMR Investment Managers Private Limited as it is a private placement. The Distributor shall refrain from assuring any returns and also ensure that whenever performance figures and related information are given, risk factors are clearly and completely stated.
- h) Distributor agrees to sign the Distribution Agreement as required by IFMR Investment Managers Private Limited, which will supersede all previous representations agreed into by the parties.
- i) The Distributor undertakes, represents and warrants that it has obtained the necessary consents, approvals and licenses under the applicable law to which it is subject which enables it and/or permits the carrying on of the activity of distribution of Alternative Investment Funds in terms of the covenants herein.